

Att. Docket No. CSHE.P004
Att. Docket No. CSHE.P004

12-1-06

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PATENT

IN THE UNITED STATES PATENT OFFICE

In Re Patent Application of:)
Venkatachari Dilip, et al.) Examiner: Greimel, Jocelyn
) Art Unit: 3693
Application No. 10/040,929)
)
Filed: December 31, 2001)
)
For: METHOD AND APPARATUS FOR)
MANAGING TRANSACTIONS)
)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Submission of Information Disclosure Statement

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 (Substitute).

Copies of references that are not U.S. Patents or U.S. Patent Publications are also enclosed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(c) and is accompanied by an Authorization to charge our credit card for the fee under 37 C.F.R. § 1.17(p).

12/04/2006 EAREGAY1 00000082 10040929

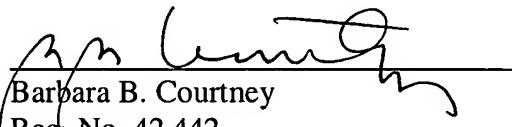
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Pursuant to 37 C.F.R. § 1.97(h), the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,
Courtney Staniford & Gregory LLP

Dated: 11-27-06


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Substitute Form 1449/PTO		Attorney Docket No.: CSHE.P004	Application Number: 10/040,929
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date: December 31, 2001	Art Unit: 3693
		First Named Inventor: Venkatachari Dilip	Examiner Name: Greimel, Jocelyne

NOV 29 2006
U.S. PATENT AND TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		6,240,399		Frank, et al.	05-2001	
		5,884,285		Atkins	03-1999	
		6,374,231		Bent, et al.	04-2002	
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Examiner Signature		Date Considered	
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form 1449/PTO		Attorney Docket No.: CSHE.P004	Application Number: 10/040,929
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date: December 31, 2001	Art Unit: 3693
		First Named Inventor: Venkatachari Dilip	Examiner Name: Greimel, Jocelyn

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		6,567,850		Freishtat, et al.	05-20-2003	
		6,594,766		Rangan, et al.	07-15-2003	
		6,633,910		Rajan	10-14-2003	
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FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)			
			WO 97-19406		Mitsubishi Chemical	05-29-1997	
			WO 01-88674A2		Grove Networks	11-22-2001	
			GB 2392262		Hewlett Packard	02-25-2004	
			JP10149404A		DBTEN	06-02-1998	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: December 31, 2001	Art Unit: 3693

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner or Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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Dawn Rose
(Typed or printed name of person mailing paper(s) or fee(s))


(Signature of person mailing paper or fee)

(Date signed)

Serial/Patent No.: 10/040,929 Filing/Issue Date: 12/31/01 Client: Cashedge, Inc..
Title: METHOD AND APPARATUS FOR MANAGING TRANSACTIONS

Atty. Docket No.: CSHE.P004 Date Mailed 11/20/06

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (pgs.)	<input type="checkbox"/> Petition for Extension of Time (month(s))
<input type="checkbox"/> Preliminary Amendment (pgs.)	<input checked="" type="checkbox"/> Information Disclosure Statement & 1449/PTO
<input type="checkbox"/> Application - Utility (pgs.)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Application - Rule 1.53(b) Contin. (pgs.)	<input type="checkbox"/> Submission of Formal Drawings
<input type="checkbox"/> Application - Rule 1.53(b) Divis. (pgs.)	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Application - Rule 1.53(b) CIP (pgs.)	<input type="checkbox"/> Appeal Brief (pgs. in triplicate)
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<input type="checkbox"/> Power of Attorney (PTO/SB/80)	<input checked="" type="checkbox"/> Express Mail No. <u>US 708 400 900 US</u>
<input type="checkbox"/> Nonpublication Request (35 USC 122(b))	<input checked="" type="checkbox"/> Credit Card Authorization Form
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